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From traditional resource to global commodities: - A comparison of Rhodiola species using NMR spectroscopy - metabolomics and HPTLC

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Provisional

1 **From traditional resource to global commodities: - A**
2 **comparison of *Rhodiola* species using NMR spectroscopy -**
3 **metabolomics and HPTLC**

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25 Comparative quality study of *Rhodiola* species

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37 Abbreviations:

38 Traditional Chinese Medicine (TCM); Nuclear magnetic resonance (NMR); High

39 performance thin layer chromatography (HPTLC); Species (spp.)

40

41 **Abstract:**

42 The fast developing international trade of products based on traditional knowledge and
43 their value chains has become an important aspect of the ethnopharmacological debate. The
44 structure and diversity of value chains and their impact on the phytochemical composition of
45 herbal medicinal products **as well as the underlying government policies and regulations** have
46 been overlooked in the debate about quality problems in transnational trade. *Rhodiola* species,
47 including *Rhodiola rosea* L. and *Rhodiola crenulata* (Hook.f. & Thomson) H.Ohba, **are used**
48 as traditional herbal medicines. Faced with resource depletion and environment destruction, *R.*
49 *rosea* and *R. crenulata* are becoming endangered, making them more economically valuable
50 to collectors and middlemen, and also increasing the risk of adulteration and low quality.

51 *Rhodiola* products have been subject to adulteration and we recently assessed 39
52 commercial products for their composition and quality. However, the range of *Rhodiola*
53 species potentially implicated is has not been assessed. Also, the ability of selected analytical
54 techniques in differentiating these species is not known yet.

55 Using a strategy previously developed by our group, we compare the phytochemical
56 differences among *Rhodiola* raw materials available on the market to provide a practical
57 method for the identification of different *Rhodiola* species **from Europe and Asia** and the
58 detection of potential adulterants. Nuclear magnetic resonance spectroscopy coupled with
59 multivariate analysis software and high performance thin layer chromatography techniques
60 were used to analyse the samples. Rosavin and rosarin were mainly present in *R. rosea* **but**
61 **also in *Rosea sachalinensis* Borris**. 30% of the *Rhodiola* samples purchased from the Chinese
62 market were adulterated by other *Rhodiola* spp. The utilisation of **a combined platform based**
63 **on ¹H-NMR and HPTLC methods resulted in** an integrated analysis of different *Rhodiola*
64 species. **We identified adulteration at the earliest stage** of the value chains, **i.e. during**
65 **collection** as a key problem **involving several species**. This project also highlights the need to
66 further study the links between producers and consumers in national and trans-national trade.

67

68 **Keywords:** *Rhodiola*, metabolomics, herb quality, adulteration, HPTLC, NMR

69

70 **Introduction**

71 While medicinal plants and spices have been traded for centuries on a global scale, the fast
72 developing international trade of products now includes a large number of species which are
73 used based on local and traditional knowledge and practice. The value chains of such
74 products are starting to become an important topic in the ethnopharmacological debate. The
75 structure and diversity of value chains, as well as their impact on the phytochemical
76 composition of herbal medicinal products (HMPs) has been overlooked in quality issues in
77 transnational trade. Different government policies and regulations governing trade in herbal
78 medicinal products impact on such value chains.

79 Medicinal *Rhodiola* species, including *Rhodiola rosea* L. and *Rhodiola crenulata* (Hook.f.
80 & Thomson) H.Ohba, have been used widely in Europe and Asia as traditional herbal
81 medicines with numerous claims for their therapeutic effects. Faced with resource depletion
82 and environment destruction, *R. rosea* and *R. crenulata* are becoming endangered, making
83 them more economically valuable to collectors and middlemen, and also increasing the risk
84 of adulteration and low quality. Poor quality and adulterated *Rhodiola rosea* products have
85 been previously reported (Booker *et al.* 2015, Xin *et al.* 2015) and this paper investigates
86 some aspects of the value chains that leads to the production of such products.

87 *Adulteration of R. rosea products with R. crenulata has been previously reported but our*
88 *fieldwork investigations suggested that other species may be implicated, and particularly*
89 *Rhodiola sachalinensis, another species that appears to contain rosavins (the main marker*
90 *compounds used for the identification of R. rosea).*

91

92 The genus *Rhodiola* (Crassulaceae) comprises approximately 90 species of succulent and
93 herbaceous perennial plants, which mainly show a circumpolar distribution across the
94 northern hemisphere (Xia *et al.* 2005, Lu. and Lan 2013). According to the Global
95 Biodiversity Information Facility (GBIF, 2010) (Kylin 2010), *Rhodiola* species usually grow
96 in mountainous areas such as rock ledges, precipices, tundra, brooks and river banks (Zhu
97 and Lou 2010).

99 *Figure 1: Rhodiola species. A: R. rosea; B: R. crenulata; C: R. quadrifida; D: R. sachalinensis; E: R. fastigiata.*
 100 *Attribute: A & B: Anthony Booker; C: <http://www.plantarium.ru/>; D&E: Plant Photo Bank of China (PPBC)*
 101 *(<http://www.plantphoto.cn/en>)*

102 Ethnopharmacological importance of key *Rhodiola* species:

103 In Europe and North America, *Sedum roseum* (L.) Scop. (commonly named under its
 104 synonym *R. rosea* L.) is the most well-known and widely used among the different species. It
 105 is also known as golden root, or arctic root which reputedly demonstrates the economic
 106 importance and the geographical distribution of the plant. It has a rich history of traditional
 107 use in Russia, Europe and Asia with various uses according to the region (e.g. as shown in
 108 Table 1).

109

110 Table 1: Traditional uses of *R. rosea* in different regions

Region	Use	Reference
Russia	<ul style="list-style-type: none"> • Escalation of physical endurance • Remedy against fatigue and high altitude sickness • Aphrodisiac 	Shikov et al., 2014; Alm, 2004
Norway	<ul style="list-style-type: none"> • Astringent • Cure for scurvy • Remedy against hair-loss and urinary tract disorders 	Alm, 2004
Iceland and Denmark	<ul style="list-style-type: none"> • Alleviation of headaches 	Alm, 2004
France	<ul style="list-style-type: none"> • Stimulant • Astringent 	Panossian et al., 2010
Alaska	<ul style="list-style-type: none"> • Cure for sores • Remedy against tuberculosis 	Alm, 2004
Mongolia	<ul style="list-style-type: none"> • Remedy against tuberculosis • Anticancer • Escalation of physical endurance • Treatment for lung inflammation 	Brown et al., 2002; World Health Organization, 2013

112 In Europe, the first documented medicinal use of *R. rosea* can be traced back to Dioscorides
 113 in 77 A.D. (Brown et al., 2002). In C. v. Linne's *Materia Medica*, the root of *R. rosea* was
 114 recommended for several conditions such as headaches, "hysteria", hernias and discharges
 115 (C. v. Linne 1749 in Panossian et al., 2010). Throughout the years, it has appeared in many
 116 pharmacopoeias and medicinal books of different countries such as Sweden, France, Norway,
 117 Germany, Iceland, Estonia and Russia (Brown *et al.* 2002, Alm 2004, Panossian *et al.* 2010,
 118 Shikov *et al.* 2014).

119 In China, 73 different *Rhodiola* species have been reported, mainly in the northwest and
 120 southwest regions such as Tibet and the Sichuan province. The adaptogenic and tonic
 121 properties of the *Rhodiola* plants have been widely used in traditional Chinese and Tibetan
 122 medicine (Li and Zhang, 2008). They are generally referred to with the Pinyin name Hong
 123 Jing Tian 红景天 (red (or glorious) view of heaven) with slight alterations for each species.
 124 (Table 2).

125

126 Table 2: Examples of the similar Pin Yin names of different *Rhodiola* species in China

Scientific name	Pin Yin name
<i>R. rosea</i> L.	Qiang Wei (rose smell) Hong Jing Tian
<i>R. sachalinensis</i> Borris.	Gao Shan (high mountain) Hong Jing Tian
<i>R. quadrifida</i> (Pall.) Fisch. & C.A.Mey	Si Lie (four split) Hong Jing Tian
<i>R. crenulata</i> (Hook.f. & Thomson) H.Ohba	Da Hua (big flower) Hong Jing Tian
<i>R. yunnanensis</i> (Franch.) S.H. Fu	Yunnan (From Yunnan) Hong Jing Tian
<i>R. kirilowii</i> (Regel) Maxim.	Xia Ye (narrow leaf) Hong Jing Tian
<i>R. fastigiata</i> (Hook. f. & Thomson) S.H. Fu	Chang Bian (clustered) Hong Jing Tian

127

128 *R. crenulata* can be traced back to Tibetan medicine books including "The Four Medical
 129 Tantras" (*rgyud-bzhi* in Tibetan, *Si Bu Yi Dian* in Chinese), Yue Wang's Classical Medicinal
 130 Book (*Somaratsa* in Tibetan, *Yue Wang Yao Zhen* in Chinese) and Jing Zhu *Materia Medica*
 131 (*Shel Gong Shel Phreng* in Tibetan, *Jing Zhu Ben Cao* in Chinese (Lu. and Lan 2013)). It is
 132 used for treatment of cough, hemoptysis, pneumonia and abnormal vaginal discharge. In
 133 Traditional Chinese Medicine (TCM), it has effects of nourishing qi as well as promoting
 134 blood circulation and is mainly prescribed for qi deficiency and blood stasis (QDBS), stroke,
 135 hemiplegia and fatigue. It is commonly used in China and Tibet for treating altitude sickness.

136 Phytochemical and pharmacological research:

137 Research on the phytochemistry and pharmacology of *Rhodiola* spp. was initiated in the
138 1960s in the Soviet Union and Scandinavia, mainly focusing on *R. rosea* (Brown et al., 2002).
139 After the turn of the century the interest in this plant spread globally. Intensive phytochemical
140 research led to the detection of known and novel compounds in *R. rosea* and related species
141 (Ma et al., 2006; Yousef et al., 2006). Between 2000 and 2015 an increased number of
142 publications stemming from Asian research groups have focused on the detection of novel
143 compounds from *Rhodiola* species, usually in combination with their respective
144 pharmacological assessments (Fan et al., 2001; Nakamura et al., 2007; Nakamura et al.,
145 2008).

146 There are more than a few hundred pharmacological studies on medicinal *Rhodiola*
147 species (mainly on *R. rosea*) that show a wide range of activities reflecting their diverse
148 traditional use. They possess adaptogenic and stress-protective (neuro-cardio and hepato
149 protective); cardioprotective; antioxidant effects as well as stimulating effects on the central
150 nervous system including on cognitive functions such as attention, memory and learning;
151 anti-fatigue effects; antidepressive and anxiolytic effects; endocrine activity normalising; life-
152 span increasing effects. (Aslanyan *et al.* 2010, Sarris *et al.* 2011, Panossian *et al.* 2013). The
153 main active compounds are reputedly phenylpropanoids (rosavin, rosarin, rosin) and
154 phenylethanoids (salidroside and tyrosol).

155 Quality issues of medicinal *Rhodiola* spp.

156 *Rhodiola* roots and rhizomes are highly valuable products traded at an international level.
157 Since the majority of *R. rosea* and *R. crenulata* raw material supplied still comes from wild-
158 collection their intensive collection leads to scarcity. (Galambosi, 2006; (Lu. and Lan 2013)

159 Herbal preparations of *Rhodiola* species (mainly *R. rosea*) are extensively utilised around
160 the globe. There is an increasing number of commercial products available on the American,
161 Asian and European markets, either as food supplements or herbal medicines. *R. rosea* herbal
162 monographs have been included in many Pharmacopoeias worldwide. On the other hand, *R.*
163 *crenulata* is the only species used medicinally in TCM (Table 3).

164 Due to this rapid increase of *Rhodiola* raw material demand, other *Rhodiola* species such
165 as *R. fastigiata*, *R. sahcalinensis*, *R. quadrifida*, *Rhodiola sacra* (Prain ex Hamet) S.H.Fu and

166 *Rhodiola serrata* H. Ohba have been sold on the market (Xin *et al.* 2015). Since there is not
 167 any consistent worldwide quality control programme, inadequate quality assessment of
 168 *Rhodiola* spp. is a common issue. This raises concerns about possible adulteration and
 169 misidentification issues. The lack of genuine drug material, confusion over the Chinese Pin
 170 Yin name of the drug when sourcing from China and accidental or deliberate adulteration
 171 during the manufacturing stage may contribute to low quality of final products.

172 The analytical techniques currently available focus on identifying *R. rosea* or *R. crenulata*
 173 through chromatographic methods. Other species of *Rhodiola* have generally not been
 174 considered. *R. sachalinensis* presents a particular problem as it may contain similar marker
 175 compounds to *R. rosea*. (and some sources suggest that it is the same species – see
 176 www.kew.org/mpns-portal)

177

178 Table 3: Generation of *Rhodiola* spp. recorded in selected pharmacopoeias and publications

Pharmacopeia / Publication	Recorded <i>Rhodiola</i> species	Medicinal use part	Herbal product
Department of Health and Ageing, Australian Government	<i>Rhodiola rosea</i>	Root (Rhizome)	Dry extract
Committee on Herbal Medicinal Products, European Medicines Agency (2012)	<i>Rhodiola rosea</i>	Rhizome et radix	Extract
United States Pharmacopeia (32th Edition)	<i>Rhodiola rosea</i>	Rhizome et radix	Dry extract, tincture
Chinese Pharmacopoeia (2010)	<i>Rhodiola crenulata</i>	Rhizome et radix	Extract
Russian Pharmacopoeia (12th Edition)	<i>Rhodiola rosea</i>	Rhizome et radix	Extract

179

180

181 Integrated analytical platform approach

182 NMR-based metabolomics

183 NMR-based metabolic fingerprinting has been used in numerous food and medicinal
184 species focusing on their quality assurance as well as their pharmacology. Such comparative
185 studies include Danggui [*Angelica sinensis* (Oliv.) Diels] and Engelwurz / European
186 Angelica (*Angelica archangelica* L.) (Li *et al.* 2014). Metabolomic differences between
187 different *Tussilago farfara* L. accessions (Zhi *et al.* 2012) and different *Salvia miltiorrhiza*
188 Bunge production sites (Jiang *et al.* 2014) were also studied by NMR fingerprinting coupled
189 with multivariate analysis. Compared to GC-MS and LC-MS, NMR has some advantages
190 such as non-selectiveness, high reproducibility, and good stability (Simmler *et al.* 2014). At
191 the same time, structural information on metabolites could also be obtained from NMR
192 directly. Therefore, NMR could be regarded as an ideal choice for chemical comparison and
193 identification of the phytochemical differences of medicinal plants.

194

195 HPTLC

196 Since the NMR- metabolomic approach is not a validated pharmacopoeial method, there is
197 a need to be compared to a standard method like high performance thin layer chromatography
198 (HPTLC). This method is widely used for the authentication and quality control of herbal
199 substances (Reich *et al.* 2008). Compared to NMR-based metabolic fingerprinting, HPTLC
200 could be highly effective with relatively lower price (Booker *et al.* 2014). HPTLC can also be
201 helpful for the identification of specific compounds. Therefore, we chose these two
202 complementary approaches in this study.

203 A third analysis strategy using DNA bar coding was used to help verify some of the
204 samples (details are given in the supplementary material (S2)).

205 **Material and methods**

206 Sampling and preparation of plant material

207 42 batches of *Rhodiola* market samples (i.e. not authenticated) were collected between
208 October 2014 and January 2015 from different suppliers including retail outlets, Internet,
209 pharmaceutical companies in seven different locations (Beijing, Guangdong, Qinghai, Anhui,
210 Hebei, Jilin and Hong Kong SAR) in China, Germany and Russia. These raw-material
211 samples, were mainly labelled as *R. rosea*, *R. crenulata*, *R. sachalinensis* and *R. quadrifida*.
212 18 batches of authenticated plant material were provided by Agroscope institute

213 (Switzerland). The samples were rhizomes of *R. rosea* plants propagated from different wild
214 Swiss populations (Mattmark, Carrasino, and Nomnon) or botanical gardens (Switzerland and
215 Germany). In addition, authenticated *R. rosea* samples which were grown from seeds or
216 provided to the institute by Dr. Bertalan Galambosi were also included. Lastly, in July 2015,
217 samples of *R. crenulata* and *R. fastigiata* roots and rhizomes were collected from Garze,
218 Sichuan, China (altitude 4,500 metres). These samples were authenticated by Professor
219 Shuyuan Li, (Guangdong Pharmaceutical University, Guangzhou, China). Botanical
220 reference materials (BRMs) for *R. rosea*, *R. crenulata* and *R. sachalinensis* were obtained
221 from the National Institute of Food and Drug Control (NIFDC, China), Dr. William Schwabe
222 (Germany) and Agroscope (Switzerland). BRMs for *R. quadrifida* and *R. fastigiata* were
223 provided by Professor Alexander Shikov (Saint-Petersburg Institute of Pharmacy, Russia)
224 and Dr. Anthony Booker (UCL School of Pharmacy- *R. fastigiata* authenticated by Professor
225 Shuyuan Li, Guangdong Pharmaceutical University, Guangzhou, China), respectively.

226 All the collected samples were deposited in the herbarium of the UCL School of Pharmacy
227 (London, UK). A detailed description of the investigated samples including their origins and
228 representative symbols are provided in supplement (S1).

229 Crude root samples were ground to powder using a household grinder (EK1665ROFOB,
230 Salter, UK) and sieved (0.70 mm mesh). All the powder samples were kept in 1.5 mL tubes
231 (Eppendorf AG.) at 4 °C until use.

232 Solvents, reagents and reference compounds

233 Deuterium oxide (D₂O), methanol-d₄ (99.8% D, MeOD), dimethyl sulfoxide-d₆ (DMSO-d₆)
234 and tetramethylsilane (TMS) were obtained from Cambridge Isotope Laboratories Inc.
235 (Andover, MA). Salidroside, gallic acid, rosarin and rosavin were purchased from Sigma-
236 Aldrich Chemicals (St Luis, USA). Tyrosol was purchased from Acros organics (New Jersey,
237 US). Water used in this study was purified by using ULTRAPURE water system (Millipore,
238 Germany). All other chemicals were of analytical grade.

239 ¹H-NMR spectroscopy

240 Sample preparation

241 900 μL of MeOD-d₄ was added for extraction. The samples were vortexed (Rodamixer, UK)
242 for 30 s and sonicated at an ultrasound bath (Fisher, XB22, UK) for 10 min. The solutions

243 were centrifuged for 10 min at 14,000 rpm (EBA21, Hettich, Faust Laborbedarf AG,
244 Germany). 600 μ L of supernatant was transferred to a 5mm diameter NMR spectroscopy tube
245 and the samples were submitted for NMR spectroscopic analysis. The one and two
246 dimensional ^1H -NMR spectra were recorded on Bruker Avance 500 MHz spectrometer
247 (Bruker Analytic, Germany), which was equipped with a QNP (^{31}P , ^{13}C , ^{15}N and ^1H) 5mm
248 cryoprobe. The acquisition parameters were: size of the spectra 64 k data points, line
249 broadening factor = 0.16 Hz, pulse width (PW) = 30 degrees and the relaxation delay d1 = 1 s.
250 The acquisition temperature was 298 K.

251 In order to assess the coherence of the results obtained, two samples from the same batch
252 were subjected to NMR analysis on the different days of examination. To minimize the error
253 caused by root selection during sample grinding, any samples weighed more than 500g were
254 analysed twice.

255 Data analysis

256 The resulting spectra were manually phased and automatic baseline corrected by Topspin
257 3.2 (Bruker, Germany) for organic fractions. Signals between δ 5.20 - 4.40 ppm and δ 3.35 -
258 3.22 ppm were removed prior to statistical analysis due to the presence of methanol- d_4 . The
259 total area of peaks (δ 10.00 - 0.00 ppm) was integrated into small (0.04 ppm) buckets by
260 bucketing (binning) function using AMIX or ACD-Labs in order to generate a number of
261 integrated regions of the data set. The buckets obtained were then imported to Microsoft
262 EXCEL (2013) where the samples were re-labelled and their species information was added.

263 Principal component analysis (PCA) was performed with SIMCA-P 13.0 (Umetrics, Umeå,
264 Sweden) for metabolomic analysis of the generated dataset. Scaling mode of Pareto (Par) and
265 Unit Variance (UV) were tested to optimize the analysis model with best $R^2\text{X}$ value.

266 HPTLC

267 Sample preparation

268 1 ml of ethanol was added to 50mg of weighed samples for extraction. The solutions were
269 then mixed on a rotary mixer (Rodamixer, UK) for 30 s, sonicated in an ultrasound bath
270 (Fisher, XB22, UK) for 10 min and centrifuged for 10 min at 14,000 rpm. The supernatant
271 was used for HPTLC analysis. The reference standard solutions of salidroside, rosin,

272 rosavin, gallic acid and tyrosol were prepared at a concentration 1mg/mL in methanol. Both
273 the reference material and the test samples were stored at 4°C.

274 Data analysis

275 Samples were applied to the plates as bands 8 mm wide by using Linomat 5 semi-
276 automatic applicator with 100 µL syringe. The space between bands was 2.0 mm and the rate
277 of application was 90 nL·s⁻¹. The number of tracks per plate was 15 and 5µl of standard and
278 sample solution were applied.

279 The temperature and relative humidity were controlled to 21-24°C and 33 %, respectively.
280 10 mL solvent was poured into the right inlet for development and 25 mL solvent was poured
281 into the left inlet for saturation. Plates were previously air dried for 10 s and developed in a
282 20 cm × 10 cm twin-trough chamber (Analtech, USA) lined with Whatman filter paper (20
283 cm × 10 cm) and saturated with mobile phase (Ethylacetate, methanol, water, formic acid
284 (77:13:10:2) vapour for 20 min. The development distance was 70.0 mm from the lower edge.

285 The developed plates were derivatised by dipping in sulphuric acid reagent, using a
286 CAMAG chromatogram immersion device and heated at 100°C on a plate heater for 5 min.
287 Sulphuric acid reagent was prepared with procedure as follow: 20 mL sulfuric acid was
288 carefully added to 180 mL ice-cold methanol and mixed. The plates were visualised using
289 CAMAG visualizer under white light, UV 254 nm and at UV 366 nm, photographed and
290 uploaded to HPTLC computer software (VisionCats).

291 Results and discussion

292 ¹H-NMR and multivariate statistical analysis

293 By incorporating the whole region (0-10 ppm) and Par scaling a significant clustering is
294 observed in *R. rosea* samples (Figure 2). *R. rosea* can be differentiated distinctly from the rest
295 of the species based on their principal component variability.

296 *Figure 2: Scores plot of five different species of Rhodiola (R. rosea, R. crenulata, R. quadrifida, R.*
297 *sachalinensis, R. fastigiata), showing principle component 1 and principal component 2.*

298 According to the spectra of the species (Figure 3), the aromatic region (6-8 ppm) is
299 dominated by the main marker compounds (rosavin and salidroside). Hence, this region was

300 analysed independently using Par scaling (Figure 4). Based on the scores plot produced,
301 *Rhodiola* species were separated more clearly compared to the scores plot of the whole region.

302

303 *Figure 3: ¹H-NMR spectra of the reference compounds, salidroside and rosavin, together with the spectra of*
304 *botanical reference material. 1: R. fastigiata, 2: R. quadrifida, 3: R. crenulata, 4: R. sachalinensis, 5: R. rosea,*
305 *6: rosavin and 7: salidroside. (From bottom to top) A: Whole region (0-10ppm); B: aromatic region (6-8ppm)*

306

307 *Figure 4: Scores plot of Rhodiola samples using the aromatic ¹H-NMR region and Pareto scaling*

308

309 *R. crenulata* and *R. quadrifida* were also separated from the rest of the species. However, in
310 this model they were clustered together. This means that there is no crucial metabolomic
311 difference between them in the aromatic region. At this point it was considered important to
312 visually inspect the spectra of the BRM's and detect any differences that they might be gone
313 with the integration of the data. *R. crenulata* BRM has an additional quartet at 6ppm not
314 detected in the rest of the species. This quartet can also be found in all the other *R. crenulata*
315 samples investigated (figures not shown).

316 Therefore, an effective separation between *R. crenulata* and *R. quadrifida* samples can be
317 accomplished by combining the PCA results with the detection of the additional peaks on the
318 ¹H-NMR spectra only present in *R. crenulata* samples between 5-6ppm.

319 We also studied the group-pair comparisons in PCA model with Pareto scaling (Figure 5).
320 The score plots showed that *Rhodiola* species separated well. (A: *R. crenulata* with other
321 *Rhodiola* species; B: *R. rosea* with other *Rhodiola* species; C: *R. crenulata* with *R. rosea*).

322

323 *Figure 5: Score plots of group comparison between Rhodiola species. A: R. crenulata (red) with other Rhodiola*
324 *spp. (blue); B: R.rosea (green) with other Rhodiola spp. (blue); C: R. crenulata (red) with R. rosea (green).*

325 The main differences were between δ 7.5 - 7.3 ppm (PC1) and δ 7.0 - 6.8 ppm (PC2). The
326 chemical shift of the main variable metabolites were mainly rosavin, rosarin and cinnamyl
327 alcohol derivatives.

328 The metabolites detected were elucidated by the analyses of the ¹H-NMR spectra as well
329 as the comparison with the reference compounds, together with the in-house NMR chemical

330 shift database (Mudge *et al.* 2013, Luo *et al.* 2015). The summary of the assignment of ¹H-
331 NMR spectral peaks obtained from the *R. rosea*, *R. crenulata* and *R. sachalinensis* BRM
332 extracts are provided in supplement (S3).

333

334

335 HPTLC analysis

336 The band position and visibility of the standards rosavin, rosarin and salidroside (Figure 7)
337 appear with characteristic colours and increasing retention factors (Rfs) 0.19, 0.26 and 0.31
338 respectively Fig.7, track H). Under UV light 254nm (track D), salidroside is not visible.
339 Under 366nm, after derivitisation with sulphuric acid, rosavin and rosarin appear as pale pink
340 bands and salidroside as a green one (track H).

341 Gallic acid shows good visibility under UV 254 nm (track A), while it is not easily
342 detected under UV 366 nm at a dark blue back-round (track E). Tyrosol is visible in 254 nm
343 but less clear in 366 nm.

344

345

346 *Figure 6: Left: HPTLC results of standard compounds under UV 254 nm (rosavin Rf = 0.19, rosarin Rf = 0.26,*
347 *gallic acid Rf = 0.58); Right: HPTLC results of standard compounds under UV 366 nm, after derivatisation*
348 *with sulphuric acid (rosavin Rf = 0.19, rosarin Rf = 0.26, salidroside Rf = 0.31, gallic acid Rf = 0.58, tyrosol Rf*
349 *= 0.76)*

350 The raw plant material obtained from the market was also studied by our HPTLC method
351 (list of samples in Supplement S4). Under UV 254 nm (Fig. 8), there were two obvious bands
352 among these samples (Rf= 0.27 and 0.48). However, due to lack of reference standards, their
353 identity remains unknown. Further studies need to be conducted using NMR and TLC-MS.
354 The majority of the samples investigated contained concentration of tyrosol similar to the
355 standard raw material used (R24, R30 and R31). Samples R1-R6 contained lower levels of
356 this compound possibly due to their longer storage time. Therefore, tyrosol could be
357 considered as a marker to study duration of *Rhodiola* storage. It was also found that only five
358 samples (R9, R25, R58, R59 and R24) contained high levels of rosavin, which turned out to
359 be *R. rosea*. Moreover, this result can also be verified by the NMR results (Figure 5).
360 However, it is not evident whether there is adulteration of *R. sachalinensis* in *R. rosea* since
361 their metabolites are similar.

362 Under UV 366nm after derivatisation eight samples (R1, R5, R6, R15, R27, R32, R61 and
363 R64) had low content in salidroside ($R_f = 0.31$). These samples could have been kept for
364 rather long time after collection and the salidroside content could have decreased due to lack
365 of good storage environment.

366

367

368 *Figure 7: HPTLC results for all Rhodiola market samples, mobile phase (Ethylacetate, methanol, water, formic*
369 *acid (77:13:10:2)*

370

371 Combining the results of HPTLC and $^1\text{H-NMR}$ multivariate statistical analysis, we also
372 analysed the adulteration rate among all the market samples (supplement, S4).

373 30% of the *Rhodiola* samples collected from the market were not *R. rosea* or *R. crenulata*.
374 Some *R. rosea* samples were also being sold as *R. crenulata*. 47.7 % of raw materials samples
375 were not labelled properly and their species information were not clearly illustrated to
376 customers. This highlights the lack of proper local government policies and good quality
377 control strategies.

378 According to our study, different *Rhodiola* species (including *R. rosea* and *R. crenulata*)
379 can be found in the Chinese market. However, they are neither sold separately nor well
380 identified. Therefore, there is a high potential of adulteration and substitution among these
381 species.

382

383

384

385 Qualitative and quantitative analysis of mixtures

386

387 Since in the value chain mixing of batches and, therefore, potentially also of species also is of
388 major concern, the possibility of qualitatively and quantitatively detecting plant mixtures was
389 also investigated. The additional species chosen for this study was *R. crenulata* which is
390 considered to be the most common adulterant of *R. rosea*. The selected BRMs were weighed
391 individually in different proportions and then added together in an Eppendorf reaction tube.
392 The rest of the sample preparation was identical to the methodology for the $^1\text{H-NMR}$

393 spectroscopy. The samples were renamed as seen in Table 4. After the acquisition of the
394 spectra, they were baseline and phase corrected and zeroed to the TMS peak in Topspin 3.2.

395

396 Table 4 ¹H-NMR-based detection of plant mixtures by

Sample name	Mg of <i>R. rosea</i> BRM	Mg of <i>R. crenulata</i> BRM
RR100	100	00
RR80RC20	80	20
RR60RC40	60	40
RR40RC60	40	60
RR20RC80	20	80
RC100	00	100

397

398 In all samples, the salidroside peak intensity remains almost the same since this constituent is
399 present in both species. The peaks of rosavin are gradually decreasing with the addition of *R.*
400 *crenulata*, whereas the characteristic quartet at 6ppm due to the presence of an unknown
401 compound is increasing with the addition of *R. crenulata* and it is not detected in *R. rosea* at
402 all (Figure 9).

403

404 *Figure 8: ¹H-NMR spectra of the whole region (left) and the aromatic region (right) of the R. rosea and R.*
405 *crenulata mixtures*

406

407 The acquired spectra were bucketed using Amix and only focused on this region (6ppm).
408 When the whole quartet was integrated into a single bucket, the observed increase of its
409 intensity was not adequately represented. Therefore, the bucket size used changed to 0.02ppm
410 and only incorporated the first peak of the quartet (6.0028-6.0048ppm). The buckets obtained
411 from Amix when then transferred into Excel, where the relationship between the bucket value
412 and the percentage of *R. crenulata* in the mixture was expressed graphically as a calibration
413 curve. The bucket value of the respective peak is increasing in a linear mode (Figure 10).

414

415

416 *Figure 9: Calibration curve showing the bucket value of the peak versus the percentage of R. crenulata within a*
417 *mixture of R. crenulata and R. rosea*

418

419 Similar results can also be obtained with HPTLC analysis. The HPTLC fingerprints produced
 420 consist of the over-spotted BRM extracts in different volumes as seen in Table 5. The final
 421 volume applied was 5 μ L.

422

423 *Table 5: Sample preparation for the detection of plant mixtures by HPTLC*

<i>R. rosea</i> 100%	RR100	<i>R. rosea</i> BRM	5 μ L
<i>R. rosea</i> 80% and <i>R. crenulata</i> 20%	RR80	<i>R. rosea</i> BRM	4 μ L
		<i>R. crenulata</i> BRM	1 μ L
<i>R. rosea</i> 60% and <i>R. crenulata</i> 40%	RR60	<i>R. rosea</i> BRM	3 μ L
		<i>R. crenulata</i> BRM	2 μ L
<i>R. rosea</i> 40% and <i>R. crenulata</i> 60%	RR40	<i>R. rosea</i> BRM	2 μ L
		<i>R. crenulata</i> BRM	3 μ L
<i>R. rosea</i> 20% and <i>R. crenulata</i> 80%	RR20	<i>R. rosea</i> BRM	1 μ L
		<i>R. crenulata</i> BRM	4 μ L
<i>R. crenulata</i> 100%	RC100	<i>R. crenulata</i> BRM	5 μ L

424

425 As seen in Figure 11, when the loading volume of the *R. rosea* decreases, the representative
 426 markers of this species (rosavin and rosarin) decrease as well. However, the band for
 427 salidroside, (since it occurs in both species) remains almost the same.

428

429 *Figure 10: HPTLC fingerprints of all R. rosea and R. crenulata mixtures under UV 254nm (tracks 1-6), white*
 430 *light and SAR (tracks 7-12) and UV 366nm and SAR (tracks 13-18).*

431

432 By gradually increasing the *R. crenulata* proportion, several bands gradually appear above
 433 salidroside that could potentially be used as markers for the qualitative and semi-quantitative
 434 HPTLC analysis of mixtures of these two *Rhodiola* species. Further work needs to be carried
 435 out to determine the identity and species-specificity of these compounds.

436

437 **Conclusions**

438 This study provided a method for distinguishing **five different species of *Rhodiola*** and
439 suggests possible methods for quantifying different species within mixtures. The
440 metabolomic and phytochemical differences between these **different** species has been
441 **demonstrated** through NMR spectroscopy and HPTLC analysis. Species represented with
442 only a small number of samples will need further investigation in order to accurately define
443 their chemical characteristics.

444 There is a need to study the links between producers and consumers especially when in
445 trans-national trade and re-enforce the hypothesis that poor quality and adulterated products
446 can be products of poorly governed value chains, particularly at the early stages of supply.
447 Moreover, it can be argued that through the establishment of well-controlled and well
448 managed value chains it is possible to better prevent accidental or deliberate contamination
449 and adulteration from occurring

450

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463

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Figure 01.JPEG

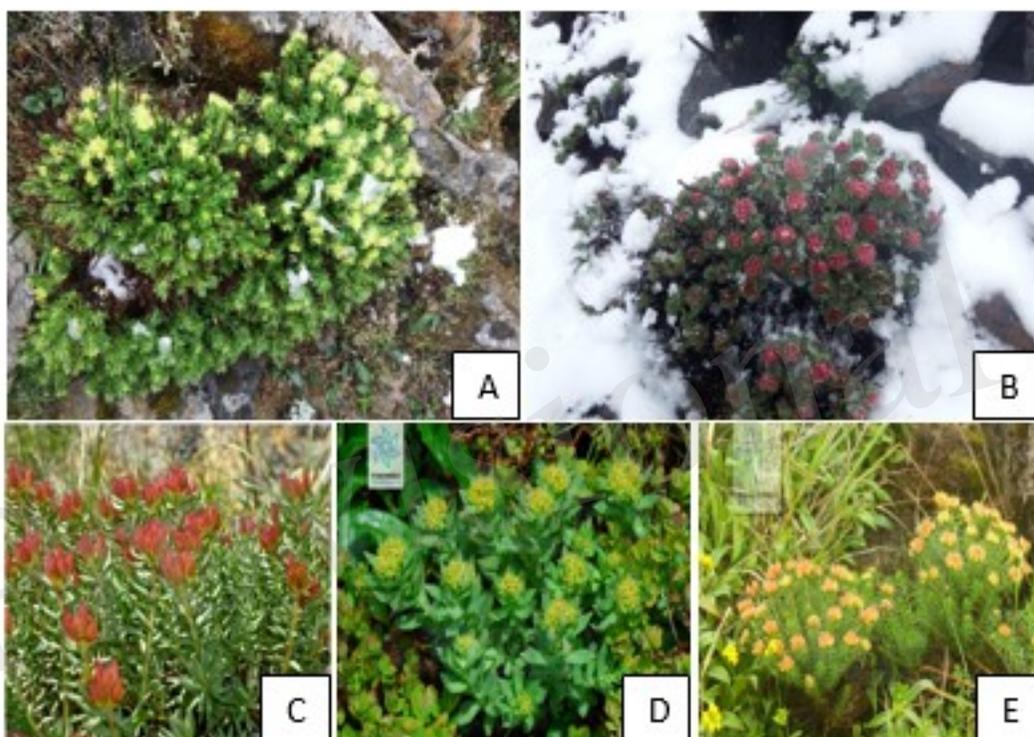


Figure 02.JPEG

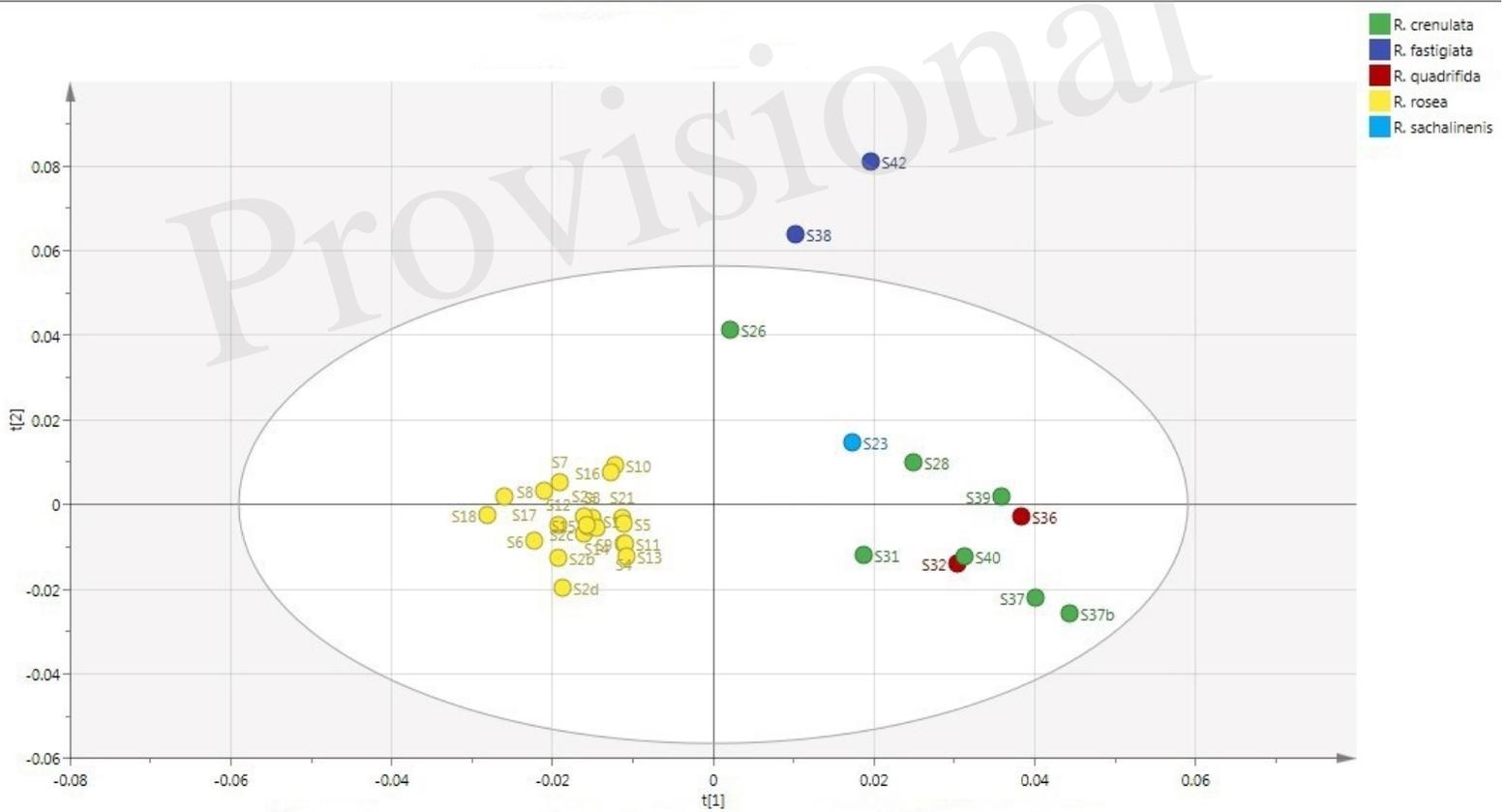


Figure 03.JPEG

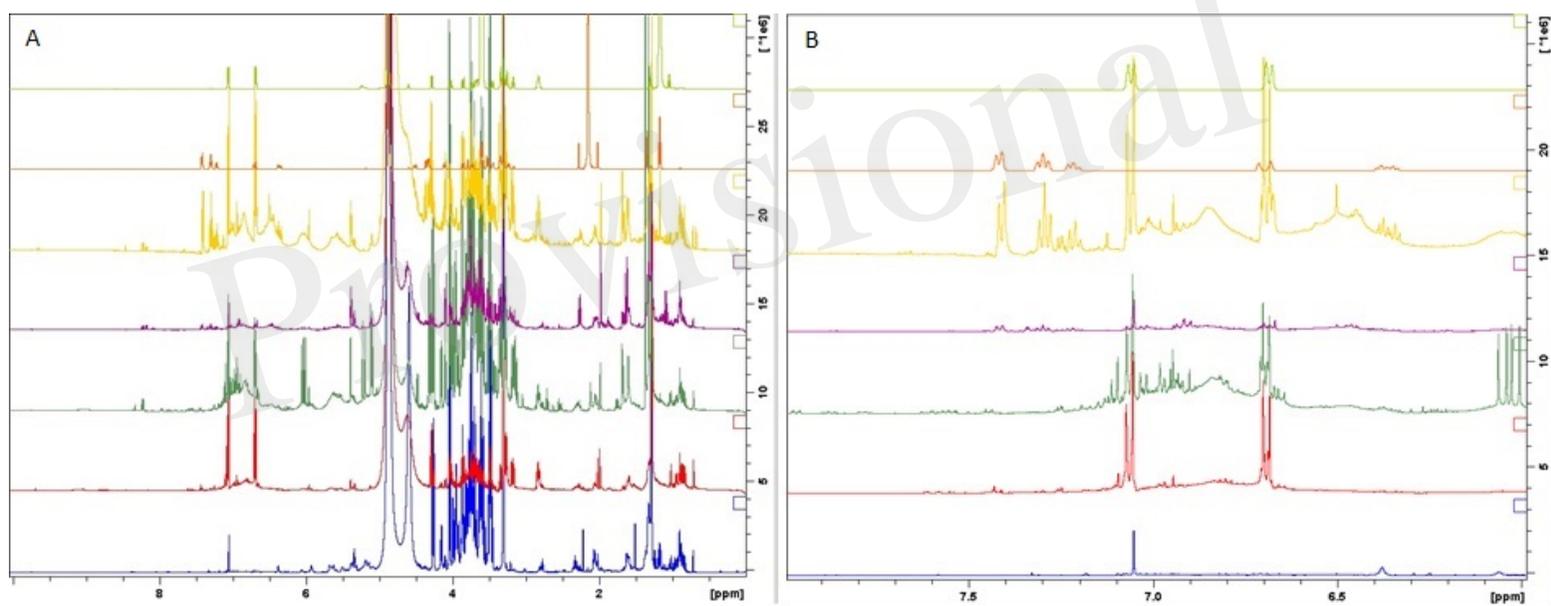


Figure 11.JPEG

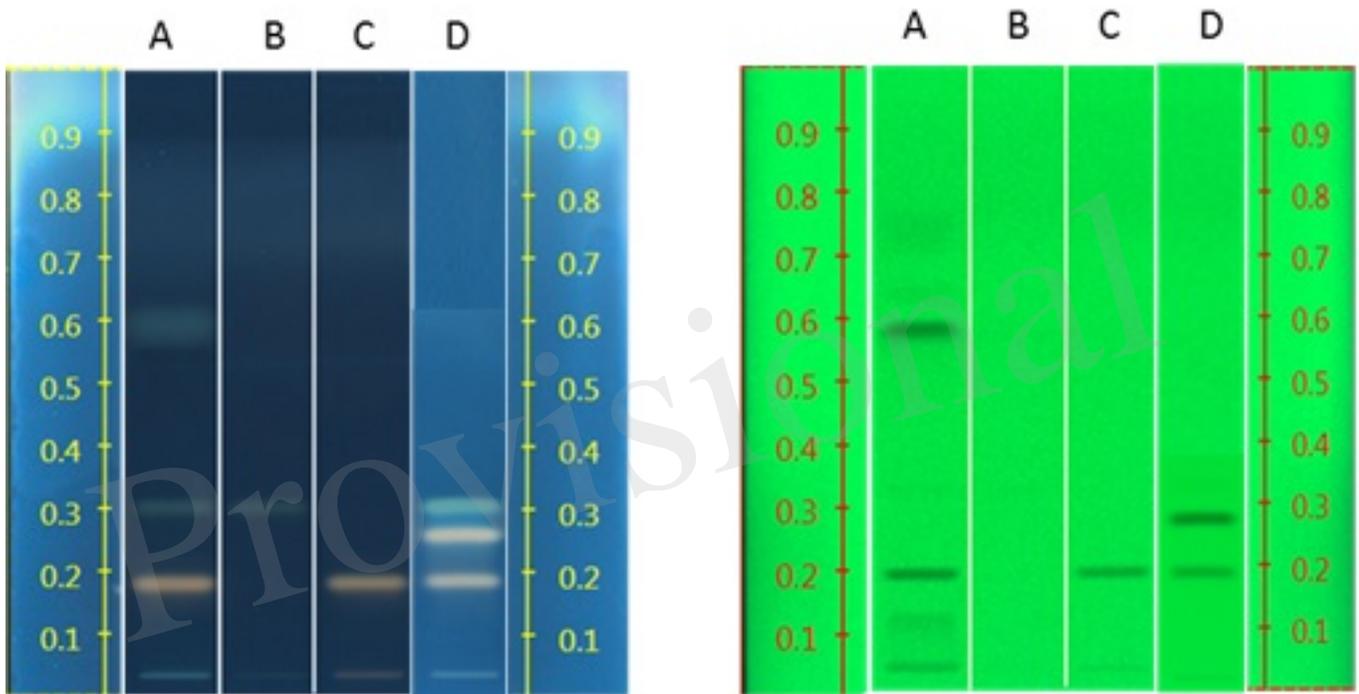


Figure 12.JPEG

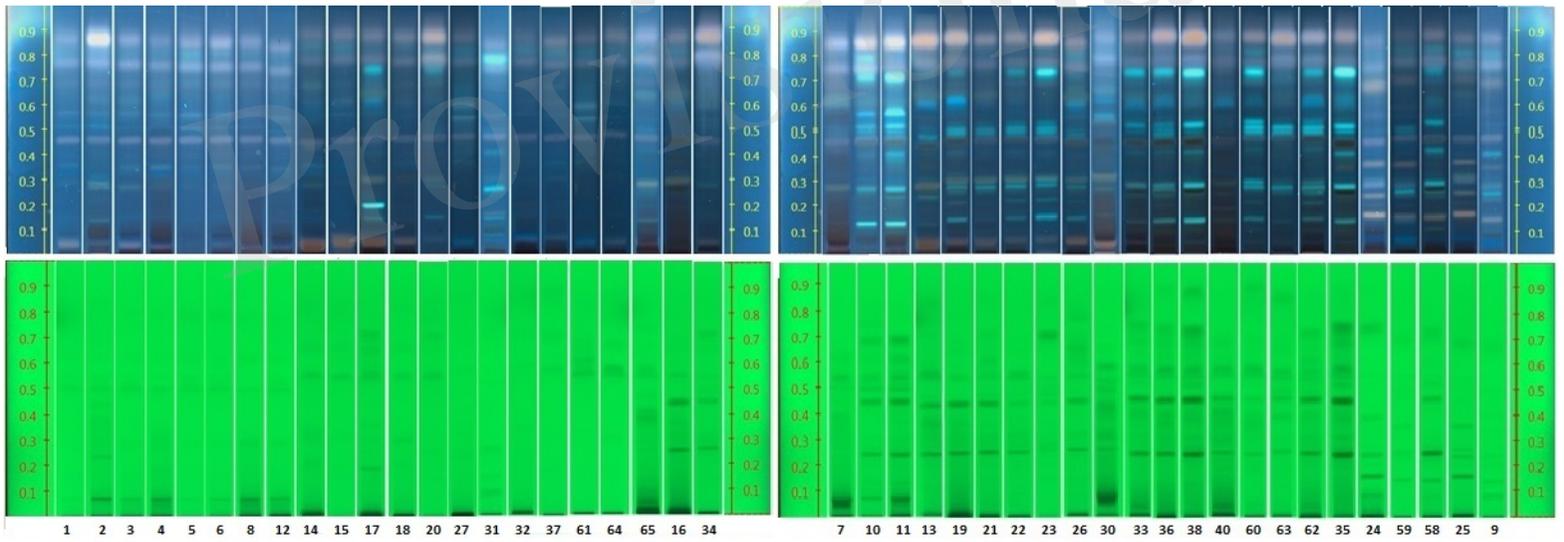


Figure 13.JPEG

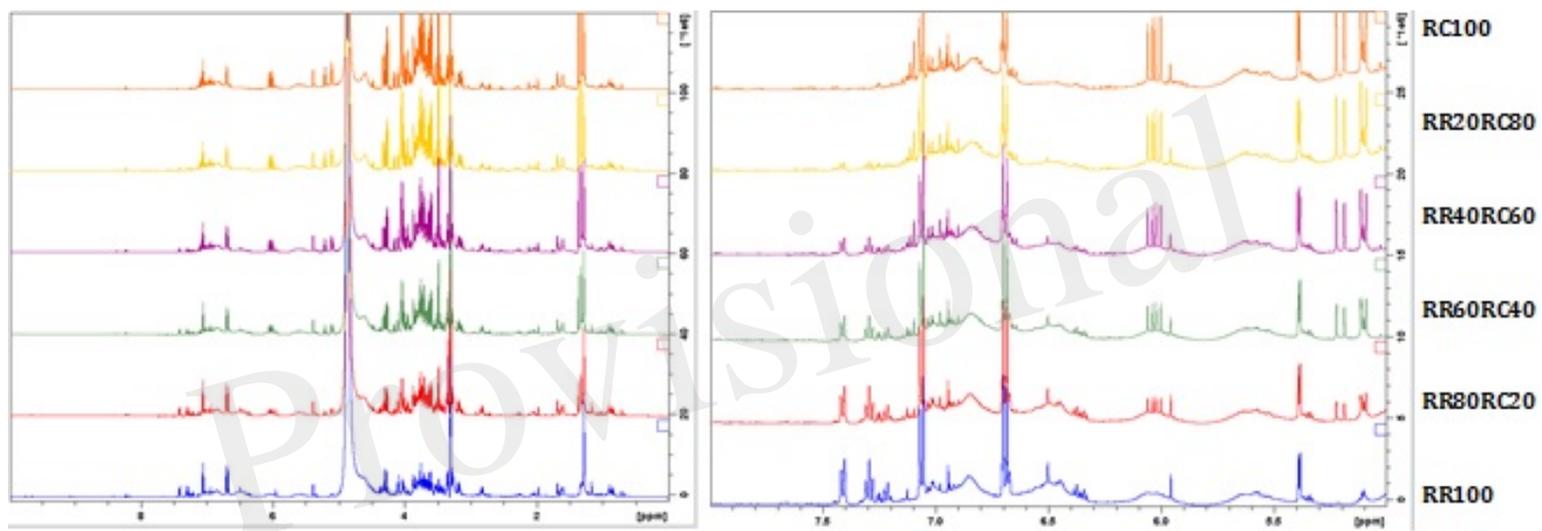


Figure 14.JPEG

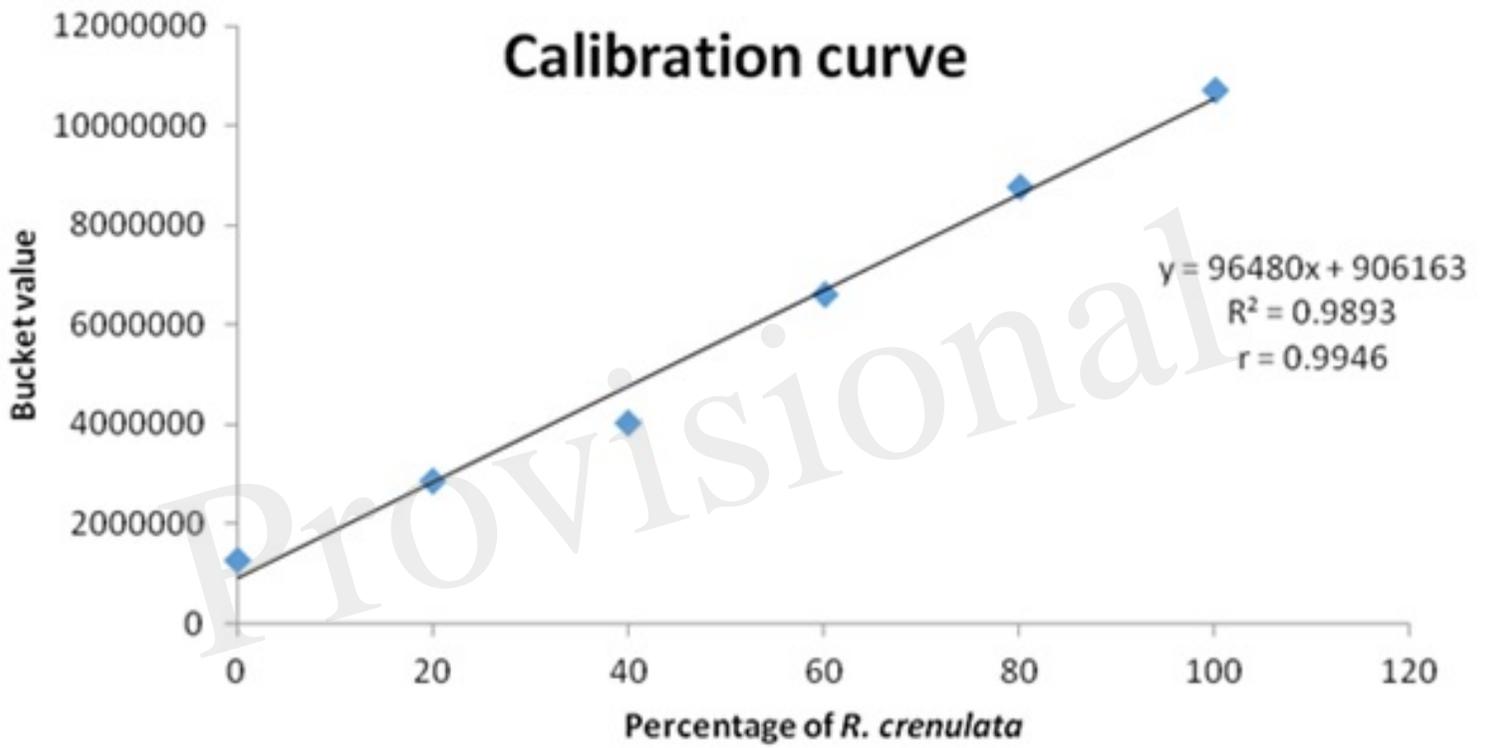


Figure 15.JPEG

